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7590

05/28/2004

JOEL D. VOELZKE
 FULWIDER PATTON LEE & UTECHT, LLP
 6060 Center Drive, 10th Floor
 Howard Hughes Center
 Los Angeles, CA 90045



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Barbara J. Senty	(Depositor's name)
<i>Barbara J. Senty</i>	(Signature)
6/28/04	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/077,493	02/15/2002	Zongqin Xia	380048-94	5275

TITLE OF INVENTION: STEROIDAL SAPOGENINS AND THEIR DERIVATIVES FOR TREATING ALZHEIMER'S DISEASE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$300	\$965	08/30/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
PESELEV, ELLI	1623	514-026000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 FULWIDER PATTON
- 2 LEE & UTECHT, LLP
- 3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Phytopharm, plc

Cambridgeshire, Great Britain

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

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(Date)

June 28, 2004

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

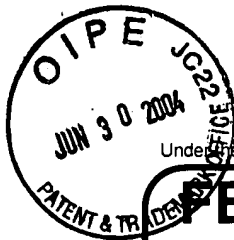
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07/01/2004 GWORDF2 00000015 10077493

01 FC:1501
 02 FC:1504
 03 FC:8001

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TRANSMIT THIS FORM WITH FEE(S)



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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FREE TRANSMITTAL for FY 2004 <i>Effective 10/01/2003. Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	10/077,493
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	February 15, 2002
		First Named Inventor	Zongqin Xia
		Examiner Name	Elli Peselev
TOTAL AMOUNT OF PAYMENT (\$)		Art Unit	1623
(\$)		Attorney Docket No.	HASEL-65749

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																													
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit card	3. ADDITIONAL FEES																													
<input checked="" type="checkbox"/> Deposit Account:	<input type="checkbox"/> Money Order																														
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FEE CALCULATION																															
1. BASIC FILING FEE																															
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001 770</td><td>2001 385</td><td>Utility filing fee</td><td></td></tr><tr><td>1002 340</td><td>2002 170</td><td>Design filing fee</td><td></td></tr><tr><td>1003 530</td><td>2003 265</td><td>Plant filing fee</td><td></td></tr><tr><td>1004 770</td><td>2004 385</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005 160</td><td>2005 80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="2">SUBTOTAL (1)</td><td>(\$)</td><td></td></tr></tbody></table>		Large Entity	Small Entity	Fee Description	Fee Paid	1001 770	2001 385	Utility filing fee		1002 340	2002 170	Design filing fee		1003 530	2003 265	Plant filing fee		1004 770	2004 385	Reissue filing fee		1005 160	2005 80	Provisional filing fee		SUBTOTAL (1)		(\$)			
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2. EXTRA CLAIM FEES FOR UTILITY AND																															
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Joel D. Voelzke	Registration No. (Attorney/Agent)	37,957
Signature		Telephone	310-824-5555
		Date	June 28, 2004

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